

## FORMALITIES LETTER



\*OC00000005181551\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
--------------------	---------------------	-----------------------	------------------------

09/527,915

03/17/2000

Mark Scott

1848.0060001

Sterne Kessler Goldstein & Fox PLLC  
 1100 New York Avenue NW  
 Suite 600  
 Washington, DC 20005



Date Mailed: 06/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2000 HN00R1 00000043 09527915

01 FC:116

390.00 OP

10/17/2000 HN00R1 00000043 09527915

02 FC:105

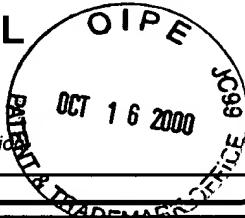
130.00 OP

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 520.00)

**Complete if Known**

Application Number	09/527,915
Filing Date	March 17, 2000
First Named Inventor	Scott et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	1848.0060001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																							
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number <b>19-0036</b></p> <p>Deposit Account Name <b>Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</b></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>Fee Code</td><td>Fee Code</td><td>Fee (\$)</td><td>Fee (\$)</td></tr> <tr><td>105</td><td>205</td><td>130</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>227</td><td>50</td><td>25 Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>139</td><td>130</td><td>130 Non-English specification</td></tr> <tr><td>147</td><td>147</td><td>2,520</td><td>2,520 For filing a request for ex parte reexamination</td></tr> <tr><td>112</td><td>112</td><td>920*</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>113</td><td>1,840*</td><td>1,840* Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>215</td><td>110</td><td>55 Extension for reply within first month</td></tr> <tr><td>116</td><td>216</td><td>390</td><td>195 Extension for reply within second month</td></tr> <tr><td>117</td><td>217</td><td>890</td><td>445 Extension for reply within third month</td></tr> <tr><td>118</td><td>218</td><td>1,390</td><td>695 Extension for reply within fourth month</td></tr> <tr><td>128</td><td>228</td><td>1,890</td><td>945 Extension for reply within fifth month</td></tr> <tr><td>119</td><td>219</td><td>310</td><td>155 Notice of Appeal</td></tr> <tr><td>120</td><td>220</td><td>310</td><td>155 Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>221</td><td>270</td><td>135 Request for oral hearing</td></tr> <tr><td>138</td><td>138</td><td>1,510</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>240</td><td>110</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>241</td><td>1,240</td><td>620 Petition to revive - unintentional</td></tr> <tr><td>142</td><td>242</td><td>1,240</td><td>620 Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>243</td><td>440</td><td>220 Design issue fee</td></tr> <tr><td>144</td><td>244</td><td>600</td><td>300 Plant issue fee</td></tr> <tr><td>122</td><td>122</td><td>130</td><td>130 Petitions to the Commissioner</td></tr> <tr><td>123</td><td>123</td><td>50</td><td>50 Petitions related to provisional applications</td></tr> <tr><td>126</td><td>126</td><td>240</td><td>240 Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>481</td><td>40</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>246</td><td>710</td><td>355 Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>149</td><td>249</td><td>710</td><td>355 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>179</td><td>279</td><td>710</td><td>355 Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>169</td><td>900</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">*Reduced by Basic Filing Fee Paid</td></tr> <tr> <td colspan="2"></td> <td colspan="6">SUBTOTAL (3) (\$ 520.00)</td> </tr> </tbody> </table>						Large Entity	Small Entity	Fee Description	Fee paid	Fee Code	Fee Code	Fee (\$)	Fee (\$)	105	205	130	65 Surcharge - late filing fee or oath	127	227	50	25 Surcharge - late provisional filing fee or cover sheet	139	139	130	130 Non-English specification	147	147	2,520	2,520 For filing a request for ex parte reexamination	112	112	920*	920* Requesting publication of SIR prior to Examiner action	113	113	1,840*	1,840* Requesting publication of SIR after Examiner action	115	215	110	55 Extension for reply within first month	116	216	390	195 Extension for reply within second month	117	217	890	445 Extension for reply within third month	118	218	1,390	695 Extension for reply within fourth month	128	228	1,890	945 Extension for reply within fifth month	119	219	310	155 Notice of Appeal	120	220	310	155 Filing a brief in support of an appeal	121	221	270	135 Request for oral hearing	138	138	1,510	1,510 Petition to institute a public use proceeding	140	240	110	55 Petition to revive - unavoidable	141	241	1,240	620 Petition to revive - unintentional	142	242	1,240	620 Utility issue fee (or reissue)	143	243	440	220 Design issue fee	144	244	600	300 Plant issue fee	122	122	130	130 Petitions to the Commissioner	123	123	50	50 Petitions related to provisional applications	126	126	240	240 Submission of Information Disclosure Stmt	581	481	40	40 Recording each patent assignment per property (times number of properties)	146	246	710	355 Filing a submission after final rejection (37 CFR 1.129(a))	149	249	710	355 For each additional invention to be examined (37 CFR 1.129(b))	179	279	710	355 Request for Continued Examination (RCE)	169	169	900	900 Request for expedited examination of a design application	Other fee (specify):						Other fee (specify):						*Reduced by Basic Filing Fee Paid								SUBTOTAL (3) (\$ 520.00)					
Large Entity	Small Entity	Fee Description	Fee paid																																																																																																																																																						
Fee Code	Fee Code	Fee (\$)	Fee (\$)																																																																																																																																																						
105	205	130	65 Surcharge - late filing fee or oath																																																																																																																																																						
127	227	50	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																						
139	139	130	130 Non-English specification																																																																																																																																																						
147	147	2,520	2,520 For filing a request for ex parte reexamination																																																																																																																																																						
112	112	920*	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																						
113	113	1,840*	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																						
115	215	110	55 Extension for reply within first month																																																																																																																																																						
116	216	390	195 Extension for reply within second month																																																																																																																																																						
117	217	890	445 Extension for reply within third month																																																																																																																																																						
118	218	1,390	695 Extension for reply within fourth month																																																																																																																																																						
128	228	1,890	945 Extension for reply within fifth month																																																																																																																																																						
119	219	310	155 Notice of Appeal																																																																																																																																																						
120	220	310	155 Filing a brief in support of an appeal																																																																																																																																																						
121	221	270	135 Request for oral hearing																																																																																																																																																						
138	138	1,510	1,510 Petition to institute a public use proceeding																																																																																																																																																						
140	240	110	55 Petition to revive - unavoidable																																																																																																																																																						
141	241	1,240	620 Petition to revive - unintentional																																																																																																																																																						
142	242	1,240	620 Utility issue fee (or reissue)																																																																																																																																																						
143	243	440	220 Design issue fee																																																																																																																																																						
144	244	600	300 Plant issue fee																																																																																																																																																						
122	122	130	130 Petitions to the Commissioner																																																																																																																																																						
123	123	50	50 Petitions related to provisional applications																																																																																																																																																						
126	126	240	240 Submission of Information Disclosure Stmt																																																																																																																																																						
581	481	40	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																						
146	246	710	355 Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																						
149	249	710	355 For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																						
179	279	710	355 Request for Continued Examination (RCE)																																																																																																																																																						
169	169	900	900 Request for expedited examination of a design application																																																																																																																																																						
Other fee (specify):																																																																																																																																																									
Other fee (specify):																																																																																																																																																									
*Reduced by Basic Filing Fee Paid																																																																																																																																																									
		SUBTOTAL (3) (\$ 520.00)																																																																																																																																																							
<p>** or number previously paid, if greater. For Reissues, see above</p> <p>SUBMITTED BY</p> <table border="1"> <thead> <tr> <th>Name (Print/Type)</th> <th>Michael V. Messinger</th> <th>Registration No. (Attorney/Agent)</th> <th>37,575</th> <th>Telephone</th> <th>202-371-2600</th> </tr> </thead> <tbody> <tr> <td>Signature</td> <td></td> <td></td> <td></td> <td>Date</td> <td>10/16/00</td> </tr> </tbody> </table>								Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600	Signature				Date	10/16/00																																																																																																																																						
Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600																																																																																																																																																				
Signature				Date	10/16/00																																																																																																																																																				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SKGF Rev 10/7/00 Initials

*Sectop*

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, D.C. 20005-3934

www.skf.com

PHONE: (202) 371-2600 FACSIMILE: (202) 371-2540

ROBERT GREENE STERNE  
EDWARD J. KESSLER  
JORGE A. GOLDSTEIN  
SAMUEL L. FOX  
DAVID K.S. CORNWELL  
ROBERT W. EDMOND  
TRACY-GENE G. DURKIN  
MICHELE A. CIMBALA  
MICHAEL B. RAY  
ROBERT E. SOKOHL  
ERIC K. STEFFE  
MICHAEL Q. LEE

STEVEN R. LUDWIG  
JOHN M. COVERT\*  
LINDA E. ALCORN  
RAZ E. FLESHNER  
ROBERT C. MILLONIG  
MICHAEL V. MESSINGER  
JUDITH U. KIM  
TIMOTHY J. SHEA, JR.  
DONALD R. MCPHAIL  
PATRICK E. GARRETT  
STEPHEN G. WHITESIDE  
JEFFREY T. HELVEY\*

HEIDI L. KRAUS  
JEFFREY R. KURIN  
RAYMOND MILLIEN  
PATRICK D. O'BRIEN  
LAWRENCE B. BUGAISKY  
CRYSTAL D. SAYLES\*  
EDWARD W. YEE  
ALBERT L. FERRO\*  
DONALD R. BANOWIT  
PETER A. JACKMAN  
MOLLY A. McCALL  
TERESA U. MEDLER

JEFFREY S. WEAVER  
KRISTIN K. VIDOVICH  
KENDRICK P. PATTERSON  
DONALD J. FEATHERSTONE  
GRANT E. REED  
VINCENT L. CAPUANO  
JOHN A. HARROUN\*  
MATTHEW M. CATLETT\*  
NATHAN K. KELLEY\*  
ALBERT J. FASULO II\*  
W. BRIAN EDGE\*

KAREN R. MARKOWICZ\*\*  
SUZANNE E. ZISKA\*\*  
BRIAN J. DEL BUONO\*\*  
ANDREA J. KAMAGE\*\*  
NANCY J. LEITH\*\*  
TARJA H. NAUKKAPINEN\*\*

\*BAR OTHER THAN D.C.  
\*\*REGISTERED PATENT AGENTS

October 16, 2000

**WRITER'S DIRECT NUMBER:**  
(202)371-2667  
**INTERNET ADDRESS:**  
MIKEM@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**



Re: U.S. Utility Patent Application  
Appl. No. 09/527,915; Filed: March 17, 2000  
For: **Method, System, and Computer Program Product For Managing Database Servers and Services**  
Inventors: Scott *et al.*  
Our Ref: 1848.0060001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated June 16, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136 (*in duplicate*);
2. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. Return postcard; and

Commissioner for Patents  
October 16, 2000  
Page 2

6. Our Check No. 29157 for \$520.00 to cover:  
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and  
\$390.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
For |  36,013  
Michael V. Messinger  
Attorney for Applicants  
Registration No. 37,575